



白银负责任采购供应链尽职调查政策

Due Diligence Policy of Silver Responsible Sourcing Supply Chain

江西和丰环保科技有限公司严格遵守国家关于职工权利、环境保护等各项法律法规，积极参与配合 LBMA 供应链调查工作，为全面实施《OECD 关于在冲突地区及高危地区供应链尽职调查指导方针》以及《LBMA 负责任的白银指南》，我公司现已推行尽责管理体系。

Jiangxi Hefeng Environmental Protection and Technology Co., Ltd. strictly abides by national laws and regulations on employee rights, environmental protection, etc., actively participates in the supply chain investigation work with LBMA, and for the full implementation of OECD Guidelines on Due Diligence Investigation of Supply Chains in Conflict and High Risk Areas as well as the LBMA Responsible Silver Guidance, the company has implemented the Due Diligence Management System.

为配合我公司 LBMA 负责体系的顺利执行，各供应商应遵守管理体系的各项要求，并承诺向我公司提供的所有类别产品的采购均为合法，严格遵守 OECD(附录二)、LBMA 制定的供应链尽责管理要求的相关内容。我们做出承诺不涉及以下情况：

In order to cooperate with the smooth implementation of our LBMA responsible system, each supplier shall comply with the requirements of the management system

and promise that the procurement of all categories of products supplied to our company is legal, and strictly comply with the relevant contents of the supply chain due diligence management requirements formulated by OECD(Appendix II) and LBMA. We make a commitment not to involve the following:

1. 人权侵权行为，包括使用童工、酷刑、非人道以及侮辱人格对待方式、广泛的使用暴力或其他严重反人权强迫劳动、战争罪、反人类罪或种族灭绝罪；
2. 向非法武装组织或向通过供应链非法控制矿区、交易商、其他中介机构、运输线路的公共或私人安全部队提供直接或间接支持，或在整个供应链内非法征税或敲诈钱财或矿产品（“非法武装组织、公共或私人安全部队”）；
3. 通过贿赂或欺诈掩盖白银原产地；
4. 为遵照政府有关来自受冲突及高风险区域的矿产品的提取、贸易及出口税费要求；
5. 洗钱或恐怖主义融资；
6. 资助冲突；
7. 从事高风险经营业务，例如武器、赌博、古董和艺术品、教派和其领导人；
8. 受益人是政治敏感人物或通缉人员；
9. 不符合环境和可持续性法律要求，开采白银源于世界遗产或自然生态保护区、危险化学品（包括汞和氰化物）直接或间接与该方有关联；违反环境、健康、安全、劳工和与社区有关的地方立法，触发 ESG 风险，极有可能导致不利影响或间接与该方有关联，并支持实施相关倡议（如采掘业透明度倡议(EITI)。

1. Human rights violations, including the use of child labor, torture, inhuman and degrading treatment, widespread use of violence or other seriously anti-human rights

forced labor, war crimes, crimes against humanity or genocide;

2. Provide direct or indirect support to illegal armed groups or to public or private security forces that illegally control mining areas, traders, other intermediaries, transport routes through the supply chain, or illegally collect or extort money or mineral products throughout the supply chain ("illegal armed organizations, public or private security forces");

3. Concealing the origin of silver through bribery or fraud;

4. To comply with government tax requirements for extraction, trade and export of mineral products from conflict-affected and high-risk areas;

5. Money laundering or terrorist financing;

6. Funding conflicts;

7. Engage in high-risk businesses such as weapons, gambling, antiques and works of art, sects and their leaders;

8. The beneficiary is a politically sensitive person or a wanted person;

9. Non-compliance with environmental and sustainability legal requirements, The mining of silver originates from World Heritage sites or natural ecological reserves, and hazardous chemicals (including mercury and cyanide) are directly or indirectly linked to this party; violation of environmental, health, safety, labour and community-related local legislation, triggering an ESG risk with a high likelihood of adverse impacts or indirect association with the party, and support for the implementation of relevant initiatives (e.g. Extractive Industries Transparency Initiative (EITI)).

如果我们确定供应商触犯上述任何一条，我们将立即中断或中止与该供应商的合作。

In case of violation against any of the above items of the supplier, we will immediately interrupt or suspend the cooperation with the supplier.

我公司认可的合格供应商提供的必须符合管理体系的各项要求，公司的相关对接单位须认真核实供应商信息，建立供应商信息管理档案，并不定期考察评估供应商风险。

The information provided by the qualified suppliers recognized by our company must meet the requirements of the management system. The company's related docking units must carefully verify the information of the supplier, establish the supplier information management file, and irregularly examine the risk of suppliers.

公司愿意接受客户和相关组织的监督，确保企业尊重人权，为可持续、公平、有效的发展做出贡献，为企业与相关方开展建设性合作创造良好条件。

The company is willing to accept supervision from customers and relevant organizations to ensure that the company respects human rights, contributes to sustainable, fair and effective development, and creates good conditions for the company to carry out constructive cooperation with relevant parties.

江西和丰环保科技有限公司

2024 年 4 月 1 日

Jiangxi Hefeng Environmental Protection and Technology Co., Ltd.

April 1st, 2024